

Message Text

PAGE 01 STATE 208063
ORIGIN IO-14

INFO OCT-01 AF-10 ARA-14 EA-12 EUR-12 NEA-10 ISO-00
AID-05 PA-02 ICA-20 H-02 TRSE-00 OMB-01 SS-15
SP-02 NSC-05 ABF-01 MMO-01 CIAE-00 INR-10 NSAE-00
EB-08 COME-00 AGR-01 /146 R

DRAFTED BY IO/DHP:JEHRMAN:ATP
APPROVED BY IO:CWMAYNES
IO/DHP:CENORRIS
O:GDALLEY
EA/TIMBS:LLROCKE
ID/ASIA:JMBURR ,INFO)
IO/EX:DELLER
ID/IIA/IA:PSALES

-----111337 162314Z /23

P 162137Z AUG 78
FM SECSTATE WASHDC
TO AMEMBASSY BANGKOK PRIORITY
INFO USMISSION GENEVA PRIORITY
USMISSION USUN NEW YORK

UNCLAS STATE 208063

FOR AMBASSADOR FROM ASSISTANT SECRETARY MAYNES

E.O. 11652: N/A

TAGS: UN, EAID, AORG

SUBJECT: PILOT STUDY OF UN ASSISTANCE ACTIVITIES
REF: BANGKOK 23461

1. WE WOULD LIKE YOUR HELP IN DEALING WITH A FOREIGN
POLICY PROBLEM OF GROWING IMPORTANCE, THAT OF HELPING TO
INCREASE THE EFFECTIVENESS OF THE VARIOUS UN ASSISTANCE
PROGRAMS.
UNCLASSIFIED

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2. FOR MANY REASONS THE AMERICAN PUBLIC AND THE CONGRESS
HAVE BECOME CRITICAL OF THE UNITED NATIONS. THE COSTS OF
THE UN, OF WHICH THE UNITED STATES BEARS A LARGE PART, ARE
INCREASING, AS ARE THE TECHNICAL ASSISTANCE ACTIVITIES OF
THE SPECIALIZED AGENCIES WHICH ARE PAID FROM ASSESSED
BUDGETS. THE LDC'S ARE ALSO CRITICAL OF THE QUANTITY AND
PROCEDURES OF EXTERNAL ASSISTANCE. IN ALL THIS, A KEY
CRITICISM OF THE EXECUTIVE BRANCH IS THAT IT HAS FAILED
TO GIVE SUFFICIENT ATTENTION TO, AND CANNOT SPEAK REASSUR-
INGLY ABOUT, THE EFFECTIVENESS OF THOSE UN ASSISTANCE PRO-

GRAMS TO WHICH THE UNITED STATES SO HEAVILY CONTRIBUTES.

3. WE APPRECIATE THE CONGRESSIONAL AND PUBLIC CONCERN AND WE HAVE BEEN WORKING WITH CONGRESS AND THE GAO, AS WELL AS WITH OMB AND AID, TO GIVE MORE ATTENTION TO REVIEWING THE

EFFECTIVENESS OF UN ASSISTANCE PROGRAMS. IN JUNE 1977, FOR INSTANCE, WE STRENGTHENED THE CERP 0008 REQUIREMENTS FOR REPORTING OF UN ASSISTANCE PROGRAMS BY EXPANDING THE REQUIREMENT TO INCLUDE AGENCIES OTHER THAN UNDP AND BY SPELLING OUT MORE EXPLICITLY THE COVERAGE OF THE REPORTING.

4. THERE IS A NEED, HOWEVER, FOR A MORE CRITICAL AND DIRECT APPROACH. WE PLAN, THEREFORE, TO CONDUCT CASE STUDIES OF UN ASSISTANCE IN A NUMBER OF SELECTED COUNTRIES IN AFRICA, ASIA, AND LATIN AMERICA. THE FIRST HAS ALREADY BEEN ACCOMPLISHED, IN KENYA. WE WOULD LIKE TO CONDUCT THE SECOND PILOT STUDY IN THAILAND.

5. ALTHOUGH WE WOULD LIKE TO REPEAT PRETTY MUCH THE PATTERN SET IN KENYA, THERE ARE NO FIRM RULES REGARDING THE CONDUCT OF THE PILOT STUDIES. WE WOULD LIKE TO SET UNCLASSIFIED

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FORTH OUR THINKING AND ASK FOR YOUR VIEWS REGARDING THE DESIGN OF THE STUDY AND THE MEANS BY WHICH WE SHOULD BEST PROCEED -- E.G. HOW MUCH MANPOWER YOUR MISSION CAN DEVOTE TO THE STUDY, AND HOW MUCH PREPARATION YOUR MISSION CAN UNDERTAKE BEFORE WASHINGTON PERSONNEL WOULD ARRIVE. WE WOULD LIKE TO PERFORM AND COMPLETE THE PILOT STUDY IN OCTOBER.

6. WE RECOGNIZE THAT IT WILL BE NECESSARY TO LIMIT THE STUDY, AND FOCUS IT ON THE QUESTIONS OF MAIN CONCERN. WE ARE NOT INTERESTED BASICALLY OR PER SE IN THE DEVELOPMENT OF INDIVIDUAL COUNTRIES WHERE THE STUDIES TAKE PLACE. RATHER, OUR PRIMARY CONCERN IS THE SYSTEM OF UN ASSISTANCE ITSELF AND ITS EFFECTIVENESS.

7. GIVEN THE CONSTRAINTS OF TIME AND SKILLED MANPOWER, THE STUDY TEAM WILL NEED A STUDY DESIGN WHICH DEFINES THE SCOPE, THE KEY ISSUES AND HYPOTHESES, THE COLLECTION AND ANALYSIS OF DATA AND OTHER ASPECTS OF THE STUDY. WE BELIEVE THIS STUDY DESIGN CAN BENEFIT FROM LESSONS LEARNED IN KENYA AND FROM IDEAS YOU MAY BE ABLE TO CONTRIBUTE.

8. THE SCOPE OF OUR STUDY IN EACH COUNTRY EXAMINED WILL ENCOMPASS THE TOTAL SPECTRUM OF UN DEVELOPMENT ASSISTANCE ACTIVITIES, RANGING HORIZONTALLY ACROSS ALL SECTORS OF THE ECONOMY (E.G., AGRICULTURE, INDUSTRY, HEALTH, EDUCATION) AND VERTICALLY FROM THE RELEVANCE AND SOUNDNESS OF PROGRAM POLICY DOWN TO THE EFFECTIVENESS OF PROJECT

EXECUTION. GIVEN THIS BROAD SCOPE, IT IS NECESSARY TO LIMIT THE STUDY IN AT LEAST TWO WAYS. FIRST, TO CAREFULLY SELECT KEY PROGRAM AND OPERATIONAL ELEMENTS WHICH ARE SUFFICIENTLY REPRESENTATIVE TO PERMIT US TO DRAW RELIABLE

GENERAL CONCLUSIONS ABOUT THE OVERALL CHARACTER OF UN PERFORMANCE. SECOND, TO DRAW ON EXISTING EVALUATIVE AND OTHER AUTHORITATIVE MATERIAL AND ON KNOWLEDGEABLE, OBJECTIVE, UNCLASSIFIED

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TIVE OBSERVERS RATHER THAN ON THE COLLECTION AND ANALYSIS OF COMPREHENSIVE PRIMARY DATA.

9. WITH RESPECT TO THE SUBSTANCE OF THE STUDY, AT THE POLICY PROGRAM LEVEL WE HOPE TO ANALYZE UN DEVELOPMENT PLANNING AND RESOURCE ALLOCATION TO DETERMINE THE EXTENT TO WHICH UN EFFORTS (A) SUPPORT THE HOST COUNTRY'S DEVELOPMENT PRIORITIES, (B) COMPLEMENT THE INPUTS OF OTHER DONORS, (C) ARE CONSISTENT WITH UN PROGRAMMING CRITERIA AND (D) HAVE ACTUALLY CONTRIBUTED TO HOST COUNTRY AND UN DEVELOPMENT OBJECTIVES. AT THE OPERATIONAL LEVEL, THE STUDY WOULD ADDRESS THE RELEVANCE OF INDIVIDUAL PROJECTS TO DEVELOPMENT GOALS AND PRIORITIES, THE QUALITY OF PROJECT DESIGN, THE EFFECTIVENESS OF IMPLEMENTATION AND OTHER PROGRAM MANAGEMENT CONCERNS. TO ILLUSTRATE THE KINDS OF CRITERIA WE HAVE IN MIND AT THIS LEVEL, AND THE WAY IN WHICH WE WOULD HOPE TO APPLY THEM, WE ARE TRANSMITTING TO YOU BY POUCH A COPY OF THE STUDY ALREADY PERFORMED IN KENYA. WE INTEND TO FOLLOW ITS GENERAL OUTLINE IN THE THAI STUDY.

10. THE KENYA STUDY WAS PRESENTED AT THE MAY 2-3 CONSULTATIVE LEVEL MEETING OF THE GENEVA GROUP. ALTHOUGH OUR BASIC PURPOSE IN PERFORMING THIS STUDY, AS WITH THE ENTIRE PROPOSED SERIES OF STUDIES, WAS TO SATISFY AN OBLIGATION WE HAVE TO CONGRESS, WE ALSO WISHED TO ESTABLISH THE PRINCIPLE THAT PROGRAM ASSESSMENT SHOULD BE A FUNCTION OF THE GENEVA GROUP. IN THIS SENSE THE STUDY WAS A SUCCESS. A US DELEGATE TO THE MEETING REPORTED THAT THE STUDY WAS "WELCOMED BY EVERYBODY. IT TURNED OUT THAT LOTS OF OTHERS WERE DOING SOMETHING SIMILAR OR WILLING TO COOPERATE WITH US IN JOINT OR PARALLEL PROJECTS IN THE FUTURE. IN ORDER TO FOLLOW UP ON OUR COMMITMENTS WE WILL

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HAVE TO MOVE AHEAD AND DO AT LEAST A COUPLE OF MORE SIMILAR PROJECTS."

11. THE THAILAND PILOT STUDY TEAM WILL BE LED BY CHESTER E. NORRIS OF THE IO BUREAU AND WILL INCLUDE WENDELL W.

WOODBURY OF IO AND ONE OR TWO OTHER OFFICERS, POSSIBLY INCLUDING A CONGRESSIONAL COMMITTEE STAFF MEMBER WHOM WE HAVE INVITED. IT WOULD SPEND APPROXIMATELY TWO WEEKS IN THAILAND.

12. I WOULD APPRECIATE HAVING YOUR VIEWS AS QUICKLY AS POSSIBLE ON THE FEASIBILITY OF CONDUCTING OUR SECOND STUDY IN THAILAND, ON ITS TIMING AND DURATION, AND YOUR THOUGHTS

ON HOW WE SHOULD GO ABOUT IT. I HAVE, OF COURSE, DISCUSSED THE IDEA WITH THE EAST ASIAN AND PACIFIC AFFAIRS BUREAU, WHICH CONCURS IN THIS INQUIRY IN PRINCIPLE.

13. IF YOU AGREE THAT WE SHOULD MOVE AHEAD WITH THE STUDY, I WOULD APPRECIATE YOUR OBTAINING THE APPROVAL OF THE ROYAL THAI GOVERNMENT AND DISCUSSING THE PLAN WITH THE UNDP RESIDENT REPRESENTATIVE. WE ALSO WOULD FIND IT MOST HELPFUL TO HAVE FROM YOU, AT AS EARLY A TIME AS POSSIBLE, THE LATEST INVENTORY OF UN PROGRAMS AND PROJECTS IN THAILAND BY THE DEVELOPMENT ASSISTANCE GROUP.

14. REFTTEL JUST RECEIVED AND WILL BE ADDRESSED IN SEPTTEL. SEPTTEL WILL ALSO GIVE BACKGROUND GENEVA GROUP MENTIONED IN PARAGRAPH 10 ABOVE. CHRISTOPHER

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PAGE 01 STATE 208063
ORIGIN IO-14

INFO OCT-01 ISO-00 /015 R

66011

DRAFTED BY IO/DHP:WWODBURY

APPROVED BY IO/SA:EMICHAL

IO/DHP:CENORRIS

-----092497 240846Z /21

R 240235Z AUG 78

FM SECSTATE WASHDC

TO AMCONSUL MONTREAL

AMEMBASSY NAIROBI

AMEMBASSY PARIS

AMEMBASSY ROME

AMEMBASSY VIENNA

UNCLAS STATE 208063

MONTREAL FOR ICAO, NAIROBI FOR UNEP, PARIS FOR UNESCO

ROME FOR FODAG

VIENNA FOR UNIDO AND IAEA

FOL RPT STATE 208063 SENT ACTION BANGKOK INFO GENEVA USUN
AUG 16, 1978

QUOTE: UNCLAS STATE 208063

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UNQUOTE CHRISTOPHER
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NOTE BY OC/T: ORIGINAL DISTRIBUTION: REBU,IO/ISO,AID,PA,ICA,H,
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Message Attributes

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Capture Date: 26 sep 1999
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: POLICIES, FOREIGN ASSISTANCE, DEVELOPMENT PROGRAMS
Control Number: n/a
Copy: SINGLE
Draft Date: 16 aug 1978
Decaption Date: 01 jan 1960
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 jan 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
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Drafter: IO/DHP:JEHRMAN:ATP
Enclosure: n/a
Executive Order: N/A
Errors: n/a
Expiration:
Film Number: D780335-0991
Format: TEL
From: STATE
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1978/newtext/t197808122/baaaaeznu.tel
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Litigation Codes:
Litigation History:
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Original Handling Restrictions: n/a
Original Previous Classification: n/a
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Review Content Flags:
Review Date: 29 mar 2005
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Review Withdrawn Fields: n/a
SAS ID: 1588428
Secure: OPEN
Status: NATIVE
Subject: PILOT STUDY OF UN ASSISTANCE ACTIVITIES
TAGS: EAID, PORG, US, UN
To: BANGKOK INFO GENEVA MULTIPLE
Type: TE
vdkgvwkey: odbc://SAS/SAS.dbo.SAS_Docs/5fd3f759-c288-dd11-92da-001cc4696bcc
Review Markings:
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